



PERKINS
CAPITAL
MANAGEMENT, INC.

Privacy Statement

Background Information:

In June 2000, the Securities and Exchange Commission adopted Regulation S-P to protect the privacy of the investing public. We hope that this document will clarify and outline the position of Perkins Capital Management, Inc. on such information.

Perkins Capital Management, Inc. believes that a client's personal information is just that, personal. We do not provide lists to any external organization unless it is necessary for the management of the client account. ***At no time shall we, or any of our employees, provide any client information to an external organization without prior consent by the client.*** Any disclosure of personal information on a client is for business purposes only.

Information Collected:

We collect nonpublic personal information about clients from the following sources:

- Information received from a client on forms necessary to open a client account - such as social security number or federal tax ID, home phone number, address; and
- Information about client transactions with us, affiliates or others - such as a clients' broker or other adviser; and
- Information on a client's assets, income or financial standing.

Information Disclosed:

We may disclose the following kinds of nonpublic personal information about a client:

- Information necessary to open a client account such as name, social security number, home address, miscellaneous phone numbers, assets, and income; and
- Information about client transactions with us, our affiliates, or others such as client account balance, fees paid or other transactions in a client's account as necessary for management of the account.

We will not disclose any information to external organizations that may use the information on mailing lists.

Information Recipients:

We do not disclose any nonpublic personal information about our clients or former clients to anyone who is not employed by or working with Perkins Capital Management, Inc. on a client account. Such persons to whom we may disclose information would be:

- Financial service providers such as security broker/dealers and traders working with us on transactions; and
- Clearing companies who electronically verify trading activity for us; and
- Accounting or auditing service providers for our annual performance verification; and
- Representatives of a legal, state, federal or local authority, as required by law.

Confidentiality and Security:

Employees are reminded frequently of the importance of confidentiality of all client information. As with all information stored electronically at Perkins Capital Management, Inc., there are required passwords and other security precautions against external breeches of confidential information.

If any client has questions or concerns about the privacy policy of Perkins Capital Management, Inc., they may contact us at (952) 473-8367 or by email at info@perkscap.